SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
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0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			01		s investment (Company Act of 1940					
1. Name and Address of Reporting Person [*] Tu Thomas				Issuer Name and Ti <u>ari Medical, I</u>			5. Rela (Check	ssuer Dwner (specify			
(Last) C/O INARI I 9 PARKER,	(First) MEDICAL, INC. SUITE 100	(Middle)		Date of Earliest Trai /28/2021	nsaction (Mor	th/Day/Year)	X	Officer (give title below) Chief Med	below lical Officer		
,					of Original F	iled (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable				
(Street) IRVINE	CA	92618					Line)	Form filed by One Form filed by Mor Person	, ,		
(City)	(State)	(Zip)									
		Table I - N	lon-Derivativ	e Securities A	cquired, D	isposed of, or Benefi	cially	Owned			
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar	ıd 5)	5. Amount of Securities Beneficially Owned Following Benorted	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

		(Monun/Day/rear)	l °)				Reported	(I) (IIISU. 4)	(Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	06/28/2021		М		5,000	Α	\$0.457	54,092	D	
Common Stock	06/28/2021		S ⁽¹⁾		2,332	D	\$95.1618 ⁽²⁾	51,760	D	
Common Stock	06/28/2021		S ⁽¹⁾		1,569	D	\$ 96.266 ⁽³⁾	50,191	D	
Common Stock	06/28/2021		S ⁽¹⁾		1,099	D	\$ 97.1146 ⁽⁴⁾	49,092	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Expiration Date Derivative (Month/Day/Year) Securities Acquired			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option	\$0.457	06/28/2021		м			5,000	(5)	04/23/2029	Common Stock	5,000	\$0.00	235,584	D	

Explanation of Responses:

1. The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 13, 2020.

2. This transaction was executed in multiple trades at prices ranging from \$94.72 to \$95.63. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

3. This transaction was executed in multiple trades at prices ranging from \$95.73 to \$96.54. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

4. This transaction was executed in multiple trades at prices ranging from \$96.95 to \$97.21. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

5. Option vested with respected with 25% of the underline shares on April 30, 2020 and vest with respect to the remaining shares in 36 equal monthly installment there after.

Remarks:

<u>/s/ Angela Ahmad, attorney-in-</u> <u>fact for Thomas Tu</u>

06/29/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.